



12-10-01

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**PATENT APPLICATION****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Docket No: 29794/37022A

jc618 U.S. PTO  
10/007620  
12/05/01

**PATENT APPLICATION TRANSMITTAL UNDER 37 C.F.R. 1.53**

*Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231*

  
Richard Zimmerman

**3. Declaration or Oath**

- Enclosed, 5 pages
- Executed by (check all applicable boxes)
  - Inventor(s)
  - Legal representative of inventor(s)  
(37 CFR 1.42 or 1.43)
  - Joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached
    - The petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 are enclosed.  
See Item 5D below for fee.
  - Not enclosed - the undersigned attorney or agent is authorized to file this application on behalf of the applicant(s). An executed declaration will follow.

**4. Small Entity Status**

- Applicant claims small entity status. See 37 CFR 1.27.
- A small entity statement is(are) attached.

**5. Additional Papers Enclosed**

- Preliminary Amendment
- Information Disclosure Statement
- Declaration of Biological Deposit
- Computer readable copy of sequence listing containing nucleotide and/or amino acid sequence
- Microfiche computer program
- Associate Power of Attorney
- Verified translation of a non-English patent application
- An assignment of the invention
- Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)
- Return receipt postcard
- Other

**6. Priority Applications Under 35 USC 119**

Certified copies of applications from which priority under 35 USC 119 is claimed are listed below and

- are attached.
- will follow.

COUNTRY	APPLICATION NO.	FILED

**7. Filing Fee Calculation (37 CFR 1.16)**

**A.  Utility Application**

CLAIMS AS FILED - INCLUDING PRELIMINARY AMENDMENT (IF ANY)						
			SMALL ENTITY		OTHER THAN A SMALL ENTITY	
	NO. FILED	NO. EXTRA	RATE	Fee	RATE	Fee
BASIC FEE				\$370.00		\$740.00
TOTAL	40 - 20	= 20	X 9 =	\$	20 X 18 =	\$360.00
INDEP.	4 - 3	= 1	X 42 =	\$	1 X 84 =	\$ 84.00
<input type="checkbox"/> First Presentation of Multiple Dependent Claim			+ 140 =	\$	+ 280 =	\$
Filing Fee:				\$	OR	\$1,184.00

**B.  Design Application (\$165.00/\$330.00) Filing Fee: \$ \_\_\_\_\_**

**C.  Plant Application (\$255.00/\$510.00) Filing Fee: \$ \_\_\_\_\_**

**D. Other Fees**

Recording Assignment [Fee -- \$40.00 per assignment] \$ \_\_\_\_\_

Petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached [Fee -- \$130.00] \$ \_\_\_\_\_

Other \$ \_\_\_\_\_

**Total Fees Enclosed \$ 1,184.00**

**8. Method of Payment of Fees**

- Enclosed check in the amount of: \$ 1,184.00
- Charge Deposit Account No. 13-2855 in the amount of: \$ \_\_\_\_\_  
A copy of this Transmittal is enclosed.
- Not enclosed

**9. Deposit Account and Refund Authorization**

The Commissioner is hereby authorized to charge any deficiency in the amount enclosed or any additional fees which may be required during the pendency of this application under 37 CFR 1.16 or 37 CFR 1.17 or under other applicable rules (except payment of issue fees), to Deposit Account No. 13-2855. A copy of this Transmittal is enclosed.

Please refund any overpayment to Marshall, Gerstein & Borun at the address below.

**10. Correspondence Address**

Customer No.: 04743

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6357  
(312) 474-6300  
(312) 474-0448 (Telefacsimile)

By:

  
Paul Barenie  
Reg. No: 46,537

December 5, 2001